

Council Priority	Council outcome	Risk	Impact	Mitigations
Core Capabilities	A relentless focus on our priorities	Reduced funding	Job loses and cuts in services this will impact on customer satisfaction and staff morale. Levels of sickness may increase due to staff stress.	All managers are involved in reviewing services to cut out waste or increase income. Staff are updated with regards the current financial climate and asked to contribute ideas for remodelling services . Staff sickness is regularly reported and monitored. The Corporate Health and Wellbeing initiatives are available to staff
Core Capabilities	A relentless focus on our priorities	Inability to meet income targets	The directorate's budget is reliant on large values of income from car parking and planning. Budget overspend putting pressure on other priority areas.	Effective and consistent financial reporting by key budget variables. DMT management through remediation plans if necessary

Core Capabilities	Capital Programme Review	Failure to manage and deliver a significant Capital Programme	Failure to deliver on these externally funded schemes will restrict the Councils ability to secure future capital funds for vital infrastructure works and improvements to its assets. Failure to deliver will hinder the council's ability to provide improved services to its customers. Failure to do this in turn will have a	Undertake effective project management. . Commission contract using the contract framework
Core Capabilities	A relentless focus on our priorities	At risk of adverse possession which means the title to another's property can be acquired without compensation. In the council's case, it does not hold deeds for some of its assets.	This can mean the permanent loss of the council's interest in the property and as a result a reduction in capital receipts.	Statutory regulation, statement of truth, retrospective registration.
Core Capabilities	Health and well-being	Possible accidental asbestos exposure	Potential exposure of personnel to airborne asbestos fibres and resultant risk of developing a harmful medical condition i). Potential for criminal proceedings by HSE against CYC iii). Potential for civil	Asbestos policy and training, annual audits of premises, liaison officers at each site. Type 3 surveys carried out before commencement of any refurbishment work.

Core Capabilities	Health and well-being	Potential for people to contract Legionnaire's Disease	Risk of personnel developing Legionnaire's disease from contaminated water in CYC premises ii). Risk of personnel being scalded by using hot water outlets in CYC premises iii). Risk of Criminal proceedings implemented by HSE iv). Risk of Civil	All sites - installations have been assessed for water risks Water risks monitoring regime in place on all CYC sites System in place to address any high risk situations Site Legionella Representatives appointed for all sites Periodic training sessions available for relevant staff Maintenance regime for water storage systems and shower
Core Capabilities	Health and well-being	Hot water outlet scalding	Member of staff or the public significantly scolded	Hot water blenders are installed in appropriate properties to control tap water temperature Individual management of shower water temperature Include water temperature management for scalding in policy document
Core Capabilities	Health and well-being	Failure to comply with Regulatory Reform (Fire Safety) Order 2005	Potential for fatality or injury of personnel working in, occupying or visiting CYC premises Potential for criminal proceedings being implemented by HSE Potential for civil proceedings	Fire Safety Risk Assessments and inspections, Fire wardens, periodic fire drills. Fire safety to be included in all regular premises H&S inspections Log of all fire drills and inspections to be maintained on site

Build Strong Communities	Safer Inclusive Communities	Failure to adhere to the requirements of the Single Equalities Act and implement the requirements of the act within prescribed timescales	The Council could be in breach of the requirements of legislation and inspection bodies. This can result in legal action, fines and poor inspection results. Failure to move from Achieving to Excellent for the Equalities Framework	Developing action plan to address the findings of the peer inspection and strengthen areas to move from achieving to excellent. Corporate commitment from ELG to DEL to Departmental Equality Networks. Equality Impact Assessment embedded in service and policy changes. Regular reporting on equality progress and issues
Get York Moving	Improving movement in the city centre	Highway Management Breach of Statutory Duty	Resources not available and/or systems not working. In relation to the Traffic Management Act failure to manage the Highway network to the maximum efficiency could result in the	Adequate numbers of suitably qualified and well trained staff. Financially supported technology. Ring fencing of Budgets. Executive recognition of the affect of policy decisions upon the Statutory obligations of the Traffic Management
Get York Moving	Winning hearts and minds	- Failure to deliver Intelligent Travel York Project	Failure to deliver relevant schemes on the ground to qualify for the relevant funding	Reporting and submission of claims to DfT on a quarterly basis. Intelligent Travel York schemes prioritised for delivery within Capital Programme

Core Capabilities	Improve our procurement	Potential challenge by European Commission or third party over procurement contracts	If the service does not follow the council's internal and also European regulations. This could lead to a failure to obtain the best balance of quality and cost in the procurement process.	Checks to ensure consistency of information supplied in documentation Contract awards to be signed by an officer with the appropriate delegated authority Review of tendering procedures OJEU notice issued in time to comply with regulations
Jobs and Economy	Positioning York on the Global Stage	Impact of international events	International event impact on visitor numbers requiring monitoring and effective service delivery response.	Constant monitoring
Core Capabilities	A confident and collaborative organisation	Delays to moving into West Offices	Completion of the construction work and the subsequent handover of the building to the council could be delayed. The council may not be entitled to occupy and/or use the building if planning conditions are not discharged.	Planning policy and the conditions attached to the planning approval Conditional Sale and Development Agreement. Staged design development meetings. Monitor discharge of CSDA pre-conditions including developer's discharging of the planning conditions.

Core Capabilities	A confident and collaborative organisation	Potential increase to an average 5:3 staff to desk ratio within West Offices	Services potentially unable to operate effectively at 5:3 staff to desk ratio. Additional cost of ICT solutions. Staff motivation affected. Potential increase in rentable space, Possible requirement for a desk booking system	Business needs analysis, design brief , change control process, service realignment plans
Jobs and Economy	Unlocking infrastructure to support investment	York North West does not proceed	Failure to meet housing and economic targets Community benefit for city not achieved. Will require review of spatial strategy within Core Strategy.	Regular monitoring by York Central Group. Dialogue between partner organisations and key stakeholders
Build Strong Communities	Improved Community Infrastructure	Failure to secure funds for Community Stadium	Fail to meet vision for community benefit.	Small project team established. Undertake S106 discussions to assess available capital-finalise development appraisals
Jobs and Economy	Unlocking infrastructure to support investment	Bring forward land for development	Inability to meet economic growth, increase in unemployment levels	Explore options and delivery vehicles for funding for York Central site and bring forward site
Jobs and Economy	supporting Business	changes in business support infrastructure, particularly due to revisions to Business Link	Confusion and duplication of advice to local businesses	Explore development of a web portal working with local partners

Jobs and Economy	supporting Business	Further downturn to international and global economies		council may need to amend economic development initiatives to respond to downturn rather than growth
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